

12-10-01

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10/18/01

11:50 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under
37 CFR 1.53(b))

Attorney Docket No.

DRC0001

First Inventor

Richard H. Thompson, III

Title

Method for Managing Wireless
Communication Device Use Including
Optimizing Rate and Service Plan
Selection

Express Mail Label No.

EL 791520427 US

10/18/01

10/007637

10/18/01

APPLICATION ELEMENTS

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form
(submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27
3. ☒ Specification **TOTAL 44 pages**
(preferred Arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) [**TOTAL sheets 8**]
5. ☒ Oath or Declaration [total sheets]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from prior appl. (37 C.F.R. § 1.63(d))
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. (See 37 CFR 1.76)
7. ☐ CD-ROM or CD-R in duplicate, large table
or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (coversheet/document(s))
10. ☐ 37 CFR. 3.73(b) Statement ☒ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document
12. ☒ IDS & Form 1449 ☒ Copies of IDS
Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
15. ☐ Certified Copy of Priority Document(s)
16. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form
PTO/SB/35 or its equivalent.
17. ☐ Other:

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a
preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: / Prior application information: Examiner: Group/Art Unit: FOR CONTINUATION OR DIVISIONAL APPS only The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a
part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a
portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label or ☒ Correspondence address below

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Kent A. Lembke

Registration No.

44,866

(Signature)

Kent A. Lembke

Date

10/18/01

FEE TRANSMITTAL for FY 2001

Complete if Known

Application Number	[Not Yet Assigned]
Filing Date	October 18, 2001
First Named Inventor	Richard H. Thompson, III
Examiner Name	[Not Yet Assigned]
Group / Art Unit	[Not Yet Assigned]
Attorney Docket No.	DRC0001

TOTAL AMOUNT OF PAYMENT (\$) (\$)

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to

Deposit
Account
Number

50-1123

Deposit
Account
Name

Hogan & Hartson L.L.P.

☐ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

☐ Applicant claims small entity status See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
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105	130	205	65	Surcharge - late filing fee or oath	
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127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
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139	130	139	130	Non-English specification	
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147	2,520	147	2,520	For filing a request for ex parte reexamination	
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112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
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113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
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115	110	215	55	Extension for reply within first month	
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116	390	216	195	Extension for reply within second month	
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117	890	217	445	Extension for reply within third month	
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118	1,390	218	695	Extension for reply within fourth month	
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128	1,890	228	945	Extension for reply within fifth month	
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119	310	219	155	Notice of Appeal	
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120	310	220	155	Filing a brief in support of an appeal	
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121	270	221	135	Request for oral hearing	
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138	1,510	138	1,510	Petition to institute a public use proceeding	
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140	110	240	55	Petition to revive - unavoidable	
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141	1,240	241	620	Petition to revive - unintentional	
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142	1,240	242	620	Utility issue fee (or reissue)	
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143	440	243	220	Design issue fee	
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144	600	244	300	Plant issue fee	
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122	130	122	130	Petitions to the Commissioner	
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123	50	123	50	Processing fee under 37 CFR 1.17(q)	
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126	180	126	180	Submission of Information Disclosure Stmt	
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581	40	581	40	Recording each patent assignment per property (times number of properties)	
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146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
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149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
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179	710	279	355	Request for Continued Examination (RCE)	
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169	900	169	900	Request for expedited examination of a design application	
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Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
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101	740	201	370	Utility Filing Fee	370.00
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106	320	206	160	Design filing fee	
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107	490	207	245	Plant filing fee	
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108	710	208	355	Reissue filing fee	
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114	150	214	75	Provisional filing fee	
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SUBTOTAL (1) \$370

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
24	-20**= 4	9	36.00
Independent Claims	-3**= 0	42	0
Multiple Dependent			0

**or number previously paid, if greater, For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim, if not paid
109	80	209 40 **Reissue independent claims over original patent
110	18	210 9 **Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

\$406.00

SUBMITTED BY Complete (if applicable)

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Signature

Kent A. Lembke

Date

10/18/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Thompson, III et al.

Serial No. _____

Filed: Herewith

For: Method for Managing Wireless
Communication Device Use Including
Optimizing Rate and Service Plan Selection

Group Art Unit: [Not Yet Assigned]

Examiner: [Not Yet Assigned]

CERTIFICATE OF MAILING BY EXPRESS MAIL

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the following documents:

1. Utility Patent Application Transmittal
2. Utility Patent Application (44 pages)
3. Drawings (8 sheets)
4. Fee Transmittal for FY 2001
5. Declaration (unexecuted)
6. IDS with Form 1449 and attachments

relating to the above application, were deposited as "Express Mail", Mailing Label No. EL 791520427 US, with the United States Postal Service, addressed to Box PATENT APPLICATION, The Assistant Commissioner for Patents, Washington, D.C., 20231, on October 18, 2001.

10/18/01
Date

10/18/01
Date

Kent A. Lembke
Mailer

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